

PRACTICE AREA

Investigations & Compliance



EXPERIENCE

Financial misconduct

Fraud

Sanctions

Foreign bribery

Public corruption

Anti-Money Laundering

DEI

Workplace harassment

Compliance investigations

#me too investigations

Title IX investigations

Courts, regulatory agencies, and private parties have appointed our partners to serve in neutral capacities, including:

- Counsel to a DOJ-appointed Independent Compliance Consultant for a Fortune 100 company
- SEC-appointed Independent Compliance Consultant to a Fortune 500 company
- Investigative counsel regarding an accounting firm's auditor-independence compliance
- Special Master appointed by a federal judge (SDNY)
- Trustee of an SEC disgorgement fund
- Monitor and investigative counsel to a labor union
- Temporary receiver of a commodities firm
- SEC-appointed Independent Compliance Consultant to a New York State government agency
- Receiver of a hedge fund
- RICO Administrator of the Fulton Fish Market

These appointments reflect the trust placed in LSW's independence, judgment, and ability to manage high-profile matters of public and private importance with precision, prudence and efficiency.

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Our Clientele Includes

- Corporations (public, private, and multinational)
- Boards of directors, audit committees, and special committees
- Directors and officers
- Employees at all levels
- Intergovernmental organizations
- Hedge funds
- Hospitals and healthcare organizations
- Bankers, accountants, consultants
- Finance and insurance professionals
- Labor unions and union officials
- Law firms
- Nonprofit institutions
- Pension plan trustees
- Universities