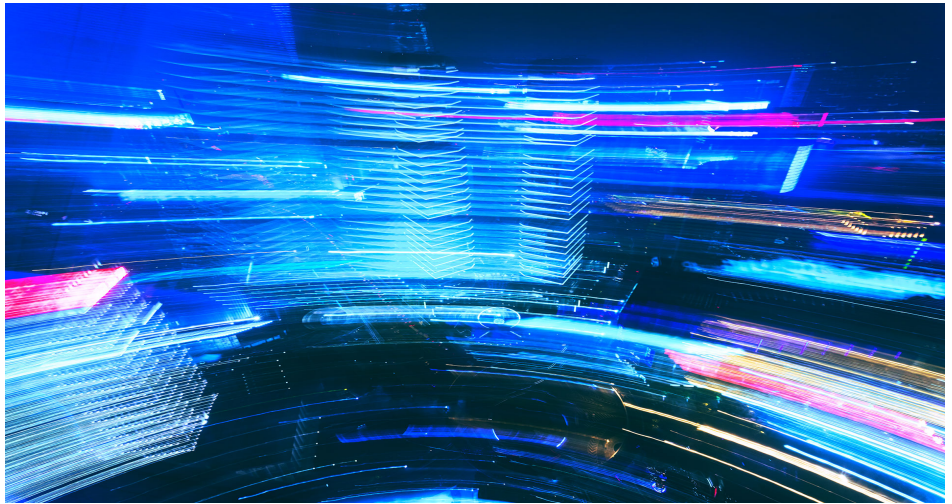


PRACTICE AREA

Securities & Regulatory Defense



EXPERIENCE

Accounting fraud and irregularities

Anti-money laundering

Bid-rigging

Breach of fiduciary duty

Channel stuffing

Shadow trading

Cyber and data-related issues

FCPA civil violations

Foreign exchange (FX) matters

Fraud

Improper sales practices

Insider trading

Market and benchmark manipulation

Options backdating

Cryptocurrency and digital-asset enforcement

AI and emerging-technology regulation

Tax violations

Our Clientele Includes

- Corporate executives and officers
- General counsel and in-house legal teams
- Brokers, bankers, and investment professionals
- Hedge fund and portfolio managers
- Technology, AI, and digital-asset innovators
- Auditors, compliance officers, and risk managers
- Real estate owners and managers
- Law firm partners
- Corporations and financial institutions